# Agenda

| OVPR/SPS News and Information | • Internal Funding/Limited Submissions  
|                             | • Fringe Benefit Rates  
|                             | • Internal Proposal Review Form (IPR)  
|                             | • Reminders  
| NIH News and Information    | • Person Month  
|                             | • Public Access Policy  
|                             | • Reminders  
| NSF News and Information    | • Collaborators and Other Affiliations Information  
| Grants.gov News and Information | • Workspace  
| COGR News and Information   | • F&A  

OFFICE OF THE VICE PRESIDENT FOR RESEARCH
Introductions

• Matt Mroz, Internal Funding Coordinator
• Ph.D. in English Literature, UConn 2015
• 12 years experience at the University
  – Teacher
  – Administrator
  – Researcher
How can I help you?

• OVPR Internal Programs at UCH
  – Research Excellence Program
    • Stimulus, Convergence
  – SPARK Technology Commercialization Fund
  – Alexion / UConn Rare Disease Innovation Fund
• Limited Submissions
OVPR Internal Funding

• Applications
  – Questions about instructions / guidelines
  – Preliminary proposal review
  – Support for submission
    • Coming soon: IFS submission portal

• Award Notifications

• Post-Award Support
  – End date extension approval
  – Re-budget / change in research plan requests
  – Annual reports (coming soon)
Limited Submission

- Limited Submission Resources
  - Listing of Limited Submission Opportunities: http://research.uconn.edu/funding/limited-submissions-announcements/
  - Notice of Intent to Submit Form: http://research.uconn.edu/sps-proposals/lsproposals/limited-submission-forms/notification-of-intent-to-submit-2/
Things to remember

• If you find a Limited Submission that isn’t listed on our webpage, please let me know

• All Limited Submissions applicants need OVPR authorization to submit to external sponsor

• Preliminary proposals don’t need to be routed through SPS
  – OVPR Internal Funding Programs
  – Limited Submission Internal Competitions
    • Winners of limited submission internal competition → SPS Pre-Award
Internal Process/Requirements for Internal Awards
Our fringe benefit rate proposal for FY 2018 has been submitted to DHHS. The proposed rates for FY18 are higher than FY17 for faculty and lower for UHP, Graduate Assistants/Non-Resident Aliens and Special Payroll personnel.

<table>
<thead>
<tr>
<th></th>
<th>FACULTY</th>
<th>UHP/MGR</th>
<th>CLASSIFIED</th>
<th>GRAD ASSIST/ NRAs</th>
<th>SPECIAL PAYROLL</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Actual Rate – FY17</strong></td>
<td>36.3%</td>
<td>65.3%</td>
<td>79.0%</td>
<td>25.6%</td>
<td>17.2%</td>
</tr>
<tr>
<td><strong>Proposed Rate – FY18</strong></td>
<td><strong>39.2%</strong></td>
<td><strong>63.3%</strong></td>
<td><strong>79.0%</strong></td>
<td><strong>21.5%</strong></td>
<td><strong>15.0%</strong></td>
</tr>
</tbody>
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(Note that the classified rate is currently capped at 79.0%)

While the highlighted rates shown above are the anticipated rates for fiscal year 2018, Sponsored Program Services recommends that the Rates for Budgeting for Grant Applications be used for most grant proposal submissions. Due to the fact that most applications include budgets for future periods, as much as five or six years in advance, we have included an increase to cover anticipated changes and increases in future years. Exceptions to this recommendation are for proposals to State and other agencies where the majority of the project will take place during fiscal year 2018 (07/01/2017-06/30/2018). In those cases, please use the existing FY18 actual rates.
## Fringe Benefit Rates - Fiscal Year 2018

### Rates for Budgeting for Grant Applications

<table>
<thead>
<tr>
<th>Employee Class</th>
<th>FACULTY</th>
<th>UHP/MGR</th>
<th>CLASSIFIED</th>
<th>GRAD ASSIST/ NRAs</th>
<th>SPECIAL PAYROLL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Q</td>
<td>I/J/M1/O/P/R/V</td>
<td>A/B/C/D/E/F/W</td>
<td>M2/S</td>
<td>U/X/Z</td>
<td></td>
</tr>
<tr>
<td>Rates for Budgeting Grant Applications</td>
<td>42.0%</td>
<td>65.0%</td>
<td>79.0%</td>
<td>25.0%</td>
<td>18.0%</td>
</tr>
</tbody>
</table>

Sponsored Program Services recommends that the rates for budgeting shown here by used for most grant proposal submissions.

Due to the fact that most applications include budgets for future periods, as much as five or six years in advance, we have included an increase to cover anticipated changes and increases in future years.

Exceptions to this recommendation are for proposals to State and other agencies where the majority of the project will take place during fiscal year 2018 (07/01/2017-06/30/2018). In those cases, please use the existing FY18 actual rates.
COMING SOON!

Internal Proposal Review Form (IPR)
The SPS internal IPAS form has been updated. Significant changes have been made throughout the form so please be sure to read each section as well as the instructions for each section carefully.

IPAS form available on our SPS website

Note:
(A) Agreements: This section is for MTA’s, Agreements requiring review/negotiation of terms and conditions.

(E) Miscellaneous Matters: This section is for items requiring prior approval/SPS authorized signatory.
REMINDERS:

Grant Proposal Budgets:

- Postdoctoral Fellow Salaries:
  - Continue to use the current NRSA guidelines for postdoctoral salaries.
    - 0 years experience: $47,484
  - Continue to include 3% increase/year.

- Please use the internal budget worksheet.
A "person month" is used for expressing the amount of time (effort) personnel commit to a specific project.

Effort is based on type of appointment with an institution/organization (ex: calendar year, academic year, summer term) and the institution’s definition of it.

NIH provides a Person Months Calculator for easy conversion of percent effort to person months.

For additional information on this topic see FAQs – Usage of Person Months
The NIH Public Access Policy requires that all peer-reviewed journal articles arising from NIH funds are posted to PubMed Central. You must have evidence of compliance with the public access policy for all peer-reviewed papers upon acceptance for publication.

NIH Public Access Policy Website provides information on when and how to apply, resource material, video training, step by step guidance on the complete process.

Tips and Reminders:

- How you comply and report compliance depends on the journal you choose.
- Develop your compliance plan while you are preparing your manuscript.
- Use the public access instruction wizard to develop your plan.
- Investigators are responsible for ensuring publications are deposited into the NIHMS upon acceptance for publication.
- Authors and delegates can track public access compliance in My Bibliography.

Additional resources:
- Training and Communications
- My Bibliography FAQ

NIH wants people to see your work. Over one million people per day use PubMed Central to retrieve more than two million papers to advance research, innovation, education and health.
Reminders

Interim RPPR

- Interim-RPPR to be submitted while your renewal application is under consideration.
- Interim-RPPR must be submitted via eRA Commons no later than 120 calendar days from the period of performance end date.
- An Interim-RPPR link for the grant will appear in the Status tab in the eRA Commons after the period of performance end date has passed.
- In the event the renewal application is funded, NIH will treat the Interim-RPPR as the annual performance report for the final year of the previous competitive segment.
- If the renewal application is not funded, the Interim-RPPR will be treated by NIH staff as the institution’s Final-RPPR.

Final RPPR

- Used as part of the grant closeout process.
- Concise summary of the outcomes or findings of the award.
- Final RPPR is due 120 days from period of performance end date.
### Scenarios Outlining the Process of When to Submit a Final or Interim-RPPR

<table>
<thead>
<tr>
<th>Scenario</th>
<th>Status of Competing Renewal Application</th>
<th>Workflow Process</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Competing Renewal not submitted</td>
<td>Submit a Final-RPPR no later than 120 calendar days from the period of performance end date.</td>
</tr>
<tr>
<td>2</td>
<td>Competing Renewal submitted</td>
<td>Submit an Interim-RPPR no later than 120 calendar days from the period of performance end date. If the competing renewal is funded, NIH will treat the Interim-RPPR as the annual performance report for the final year of the previous competitive segment.</td>
</tr>
<tr>
<td>3</td>
<td>Competing Renewal submitted but not funded</td>
<td>Submit an Interim-RPPR no later than 120 calendar days from the period of performance end date. If the competing renewal is not funded, NIH will treat the Interim-RPPR as the institution's Final-RPPR. To reduce burden NIH will not require recipients to submit an additional Final-RPPR if the renewal application is not funded.</td>
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For More Information see:

- NOT-OD-17-022,
- NOT-OD-17-037,
- Research Performance Progress Report (RPPR)
Additional RPPR Information

Guide to Categorizing Products in RPPR

- RPPR – Section C (Products): Definitions, Examples, and Distinctions

Project Outcomes Summary

- Sample Project Outcomes Summary - RPPR
New Tutorials on Preparing and Submitting your NIH Grant Application

NIH provides a new resource to assist you with the step by step NIH grant application process. The How to Apply page now includes four new interactive tutorials on the basics of preparing, writing, and submitting your application.
Collaborators and Other Affiliations Information

NSF Biosketches will no longer include collaboration and affiliation information. This information will now be captured separately through the use of the NSF template document. The spreadsheet template should be uploaded in .xlsx or .xls format (NOT PDF) to preserve searchable text that may otherwise be lost.
Legacy PDF Application Package will be phased out in December 31, 2017
<table>
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<tr>
<th>Step</th>
<th>Description</th>
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<tbody>
<tr>
<td>1. Register as a User in <a href="https://grants.gov">Grants.gov</a></td>
<td></td>
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<tr>
<td>2. Contact your SPS Project Officer to assign you the &quot;Manage Workspace Role&quot;.</td>
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<tr>
<td>3. Create your &quot;Workspace&quot; - you are now the &quot;Owner&quot; of the Workspace.</td>
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<tr>
<td>4. Add &quot;Participants&quot; to your Workspace (including your Project Officer) to help you complete the forms.</td>
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<tr>
<td>5. Complete the application forms and &quot;Check Application&quot;.</td>
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<tr>
<td>6. Send final application to SPS by clicking &quot;Complete and Notify AOR&quot;.</td>
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<tr>
<td>7. Your SPS Project Officer will &quot;Sign and Submit&quot; your application.</td>
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COGR provides three items of information to assist in explaining and clarifying what F&A costs are and how it works.

Addressing Concerns Over F&A

- F&A Primer
- F&A Talking Points
- COGR One Pager on F&A
Department Administrators
News and Information
See you in September