Sponsored Program Administrators Meeting

February 18, 2020
SPA Moments Infographics

- Overview of the SPA Moments Infographics
- They’ll be on the website later today or tomorrow
- If you have any ideas for future infographics, email brenda.Lowther@uchc.edu
NIH Applications

- New warning error for graphics in abstracts and specific aims
- Believe will eventually be an error preventing acceptance by Commons

Change in Submission Deadlines and End of Recent Substantial service Option

- NOT-OD-20-060
  - plans to discontinue the practice of granting one-year continuous submission status to reviewers with recent substantial review service, which was earned by serving 6 times in an 18 month period

Guidance on Video Submissions

- Post-submission videos do not need to be embedded in .pdf files
- Cannot be imbedded or linked in application unless instructed to do so
- Aggregate length limited to 2 (single-project) or 5 (multi-component) minutes
SPS Routing Deadlines

STREAMLINE ROUTE:

** Due 5 days prior to Sponsor’s deadline **

- Permitted when SPS is submitting the final application (NEW/RENEWAL applications only).
- Include IPR form (routing), detailed budget, budget justification, abstract/SOW, consortium documents and other documents requiring institutional signature.

FINAL APPLICATIONS:
Due NOON the day prior to Sponsor’s deadline
Certificate of Confidentiality

• All NIH-funded research within the scope of the NIH CoC Policy, that began after or was ongoing on December 13, 2016, is deemed issued a CoC certificate, per the 21st Century Cures Act.

• Please note that it is the responsibility of recipients and their investigators to determine if their research is collecting or using covered information.

• The FAQ, What NIH-funded research is issued a Certificate, has information on what research is covered by a CoC. The series of questions below can be used to determine if the CoC Policy applies to a NIH-funded research project.
Certificate of Confidentiality

Is the activity biomedical, behavioral, clinical, or other research?

If the answer to this question is **NO**, then the research project is not deemed issued a Certificate.

If the answer is **YES**, then answer the following questions:

1. Does the research involve Human Subjects as defined by 45 CFR Part 46?
2. Are you collecting or using biospecimens that are identifiable to an individual as part of the research?
3. If collecting or using biospecimens as part of the research, is there a small risk that some combination of the biospecimen, a request for the biospecimen, and other available data sources could be used to deduce the identity of an individual?
4. Does the research involve the generation of individual level, human genomic data?

If the answer to any one of the four questions above is yes, then the CoC Policy applies and the institution/investigator is automatically deemed issued a CoC.

Since October 13, 2017, NIH will not provide documentation that specific NIH-funded studies are covered by a Certificate. Documentation of NIH funding or support, the NIH CoC Policy (NOT-OD-17-109), and the NIH Grants Policy Statement (See 4.1.4.1) subsection 301(d) of the Public Health Service Act, will serve as documentation of the issuance of a CoC for a specific study. The NoA does not include information on the CoC.
The new PAPPG will be effective for proposals submitted or due, and awards made, on or after June 1, 2020. Significant changes include:

- NSF will require use of an NSF-approved format in submission of the biographical sketch and current and pending support documents, once the PAPPG (NSF 20-1) becomes effective;
  - NSF is partnering with the National Institutes of Health (NIH) to use SciENcv: Science Experts Network Curriculum Vitae as an NSF-approved format for preparation of both documents.
  - Use of an NSF-approved format will not be required until implementation of PAPPG 20-1 on June 1, 2020.
  - To assist the community, NSF has developed websites with additional information for the preparation of the biographical sketch and current and pending support.
- New requirement for proposing organizations to submit government-wide representations and certifications in the System for Award Management (SAM);
- New requirement for providing e-mail documentation of Program Officer approval for the submission of RAPID and EAGER proposals; and
- Clarifications to current and pending support coverage as well as other changes throughout the document.
DHHS Salary Cap Increase

- Effective January 5, 2020, the salary limitation for Executive Level II salary is 197,300

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<thead>
<tr>
<th>NIH</th>
<th>SAMHSA</th>
<th>HRSA</th>
<th>AHRQ</th>
<th>CMS</th>
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<tbody>
<tr>
<td>FDA</td>
<td>ACL</td>
<td>ACF</td>
<td>ATSDR</td>
<td>CDC</td>
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- Use this amount as starting point for any applications for those individuals whose annual salary exceeds this amount and will be working on and charged to the grant.
- For existing awards the revised amount will be reflected in payroll starting January 3, 2020.

FY 2020 NRSA Stipend/Tuition Levels

• NOT-OD-20-070 establishes stipend levels for FY 2020 Kirschtein- NRSA awards for undergraduate, predoctoral and postdoctoral trainees and fellows.
• Only apply to NRSA awards made with FY 2020 funds;
• Appointments awarded in FY 2020 should be amended to reflect updated stipend levels once the grant award budget has been adjusted by NIH;
• Amended appointments must be submitted through xTrain.

<table>
<thead>
<tr>
<th>Career Level</th>
<th>Years Experience</th>
<th>FY 2020 Stipend</th>
<th>Monthly Stipend</th>
</tr>
</thead>
<tbody>
<tr>
<td>Predoctoral</td>
<td>All</td>
<td>25,320</td>
<td>2,110</td>
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<tr>
<td>Postdoctoral</td>
<td>0</td>
<td>52,704</td>
<td>4,392</td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>53,076</td>
<td>4,423</td>
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<td></td>
<td>2</td>
<td>53,460</td>
<td>4,455</td>
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<tr>
<td></td>
<td>3</td>
<td>55,596</td>
<td>4,633</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>57,456</td>
<td>4,788</td>
</tr>
<tr>
<td></td>
<td>5</td>
<td>59,580</td>
<td>4,965</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td>61,800</td>
<td>5,150</td>
</tr>
<tr>
<td></td>
<td>7 or more</td>
<td>64,008</td>
<td>5,334</td>
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### TUITION AND FEES

<table>
<thead>
<tr>
<th>Predoctoral Trainees/Fellows</th>
<th>Postdoctoral Training/Fellows</th>
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<tbody>
<tr>
<td>T32, T34, T35, F30, F31</td>
<td>T32, T90, F32, F33</td>
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<tr>
<td>• 60% of actual tuition, up to $16,000 per year;</td>
<td></td>
</tr>
<tr>
<td>• If enrolled in a program supporting a dual-degree training, 60% of actual tuition, up to $21,000 per year</td>
<td>• 60% of actual tuition up to $4,500 per year;</td>
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<tr>
<td></td>
<td>• If enrolled in a program supporting postdoctoral individuals in a formal degree-granting training, 60% of actual tuition up to $16,000 per year</td>
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### INSTITUTIONAL ALLOWANCE

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<th>Predoctoral Trainees/Fellows</th>
<th>Postdoctoral Training/Fellows</th>
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<tr>
<td>T32, T34, T35, F30, F31</td>
<td>T32, T90, F32, F33</td>
</tr>
<tr>
<td>• $4,200 per year</td>
<td>• $11,850 per year</td>
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<tr>
<td>• Includes Health Insurance</td>
<td>• Includes Health Insurance</td>
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[ovpr.uconn.edu](http://ovpr.uconn.edu)  [ovpr.uchc.edu](http://ovpr.uchc.edu)
Cost Transfer Update

• Apex Cost Transfer request form has been updated:
Cost Transfer Update

- Changes are designed to more closely tie to cost transfer policy 2002-07:
  - Why transfer needed;
  - Detail of charges moved;
  - Why is it appropriate to charge the receiving account?
WHY ARE COST TRANSFERS PROBLEMATIC?

2 CFR §200.303 Internal Controls
The non-Federal entity must:

• Establish and maintain effective internal control over the Federal award that provides reasonable assurance that the non-Federal entity is managing the Federal award in compliance with Federal statutes, regulations and the terms and conditions of the Federal award.
• Take prompt action when instances of noncompliance are identified including non-compliance in audit findings.
Why are cost transfers problematic?

A cost that has been charged to a fund has already been certified for allowability, allocability and as being a direct benefit to the project.

A cost transfer invalidates that original certification!
Can you charge travel on a grant if not originally in the budget? If you have the funds available in purchased services and can justify it, is it allowed?
Next SPA and Questions

March 17, 2020
April 14, 2020
May 19, 2020

All meetings are at 10:30 am

Have a question or topic you would like covered at the SPA Meetings?
Email brenda.lowther@uchc.edu