Guidance on Scholarly Publication Costs

Publication costs on sponsored awards are typically allowable as long as they can be clearly allocated to the project and are reasonable. Costs charged as publication costs may include page and open access charges. Publication costs should be considered when determining the level of direct cost funding to be requested, thus they should be specified in the proposal budget and budget justification. It is important to check sponsor regulations and terms and conditions for specific requirements regarding publications and to ensure that the costs are allowable and charged timely.

Most sponsors require an acknowledgment of support and a disclaimer for publications, inventions, and other research products as well as copies of publications. This typically includes an acknowledgement of the grant number(s) that supported the work documented in the publication. Note that if research product is supported by more than one sponsored award, the associated publication charges should be allocated to each account accordingly. Review sponsor specific requirements for exact language and format to be used for acknowledgements and disclaimers.

Most sponsors require copies of publications to be sent to the program officer or other sponsor contact or to be documented in a technical report.

It is the principal investigator’s responsibility to understand and comply with sponsor’s terms and conditions regarding publications.

References:

Sherpa Juliet is a searchable database of funder’s policies and their requirements on open access, publication and data archiving.

Access to Sherpa Juliet

Additional resources for research data management

Proposal & Award Policies & Procedures Guide (PAPPG)

NIH Grants Policy Statement

2 CFR 200 (§200.461)