Sponsored Programs Administration

November 29, 2018

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Agenda

• Welcome/Open Mike
• Training Update
• Sole Source Justification in Proposals
• Subrecipient Form and the FDP Expanded Clearinghouse
• Subaward Invoice Review
• Spotlight on Object Codes
• Impact of Cost Transfers on Invoicing and Federal Draws
• Sponsor Updates
Interactive Learning Experiences

Brenda Lowther
Interactive Learning Experiences

Beginning in January, 2019, I will be conducting Interactive Learning Experiences on each campus. The first will be Federal Costing Principles.

These short (2 – 3 hour) experiences will be completely collaborative with activities that will mimic real-world scenarios.

Upcoming topics include ‘Subawards’ and ‘Account Monitoring and Management’.

As we develop learning modules for the larger UConn/UConn Health certificate program, they will be rolled out as short experiences.

If you have input, topic suggestions, questions, or comments please email brenda.lowther@uchc.edu
Pre-award Update

- Sole Source Justifications
- Subrecipient Form and FDP Expanded Clearinghouse

Laura Kozma

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Pre-Award

**Sole Source Justifications**
- Explicit sponsor approval required
- Procurement will partner with SPS to request sponsor approval
- Inclusion in a funded application does not constitute sponsor approval

**Subrecipient Form and FDP Expanded Clearinghouse**
- [https://fdpclearinghouse.org/](https://fdpclearinghouse.org/)
- Reduction in required fields on Subrecipient Form for institutions participating in the Clearinghouse

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<tr>
<th>PARTICIPATING IN FDP EXPANDED CLEARINGHOUSE?</th>
<th>Yes (skip to SUBRECIPIENT CERTIFICATION)</th>
<th>No (complete all sections)</th>
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</thead>
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- Review the completed form and verify that the institution is participating if they checked “Yes”
• Subaward Invoice Review
• Spotlight on Object Codes
• Cost Transfers, Invoicing, and Drawdowns

Laura Kozma
Subaward Invoice Review
• PI must review to ensure funding requested appears commiserate with the work completed to date.
• Subawards and subcontracts are typically cost-reimbursable so they should only be invoicing for actual costs incurred in support of the project.
• PI should alert the FO and SPS if they have any concerns about the subrecipient or their ability to complete the scope of work.

Spotlight on Object Codes
• 7045 – Human Subjects Incentives: always use 7045 for subject incentive payments. Do not use 7045 for anything else (such as consultants or participant stipends).
Post-Award

Cost Transfers, Invoicing and Drawdowns

• Cost transfers may impact how we invoice and draw down funding from federal government.
• Work proactively to try to avoid cost transfers!
NIH Updates

New Application Requirements for Institutional Training Grants: Letter of Institutional Commitment to Harassment and Discrimination Protections

NIH takes the issue of sexual harassment and discrimination very seriously. As such, beginning for applications submitted for due dates on or after January 25, 2019, institutional training grant applications (T15, T32, T34, T35, T36, T37, T90/R90, TL1, TL4) must include a letter that describes the institutional commitment to ensuring that proper policies, procedures, and oversight are in place to prevent discriminatory harassment and other discriminatory practices. This letter should be included with other Letters of Support on the PHS 398 Research Training Program Plan form.

See NOT-OD-19-029 for additional details and instructions for this new requirement.
NIH Reminder

Current and Pending Support Reminder – Don’t forget about Other Support

- Information on other active and pending support will be requested as part of the Just-in-Time procedures.
- Other support includes all financial resources, whether Federal, non-Federal, commercial or institutional, available in direct support of an individual's research endeavors, including but not limited to research grants, cooperative agreements, contracts, and/or institutional awards.
- Training awards, prizes or gifts are not included.
- Other support is requested for all individuals designated in an application as senior/key personnel-those devoting measurable effort to a project.
- Information on Other Support is not specifically requested for Program Directors, training faculty, and other individuals involved in the oversight of training grants since applicable information is collected in other sections of a training grant application. It is also not requested for individuals categorized as Other Significant Contributors.
NIH Review of Other Support

Scientific program and grants management staff will review this information before award to ensure the following:

• Sufficient levels of effort are committed to the project.

• There is no scientific, budgetary, or commitment overlap.
  • Scientific overlap occurs when (1) substantially the same research is proposed in more than one application or is submitted to two or more funding sources for review and funding consideration or (2) a specific research objective and the research design for accomplishing the objective are the same or closely related in two or more applications or awards, regardless of the funding source.
  • Budgetary overlap occurs when duplicate or equivalent budgetary items (e.g., equipment, salaries) are requested in an application but already are provided by another source.
  • Commitment overlap occurs when an individual's time commitment exceeds 100 percent (i.e., 12 person months), whether or not salary support is requested in the application.
  • Overlap, whether scientific, budgetary, or commitment of an individual's effort greater than 100 percent, is not permitted. Any overlap will be resolved by the IC with the applicant and the PD/PI at the time of award.

• Only funds necessary to the approved project are included in the award.
Revised Version of PAPPG effective for all proposals submitted or due on or after January 28, 2019

• Addition of Research.gov as an option for proposal preparation and submission, and proposal file updates;

• Revision of eligibility standards for unaffiliated individuals;

• Specification that conference proposals over $50,000 and all equipment proposals must include the Collaborators and Other Affiliations information in the proposal submission;

• Revision of resubmission guidelines for NSF programs that accept proposals at any time;

• Implementation of NSF’s policy on sexual harassment and other forms of harassment, or sexual assault;

(continued on next slide)
NSF Update

Revised Version of PAPPG effective for all proposals submitted or due on or after January 28, 2019

- Specification that proposers are required to have a policy or code-of-conduct that addresses sexual harassment, other forms of harassment, and sexual assault, and that includes clear and accessible means of reporting violations of the policy or code-of-conduct. This policy or code-of-conduct must be disseminated to conference participants prior to attendance at the conference as well as made available at the conference itself;

- Emphasis on the importance of training faculty in the responsible and ethical conduct of research;

- Incorporation of existing patent policy into the PAPPG. This policy was previously implemented by regulation at 45 CFR 650

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Next Meeting:

January 17, 2019
10:00 a.m.
Student Union Rm 304 A & B
(room subject to change)

Have a question or topic you would like covered at the SPA Meetings?
Email brenda.lowther@uchc.edu
<table>
<thead>
<tr>
<th>Date</th>
<th>Time</th>
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<tbody>
<tr>
<td>January 17, 2019</td>
<td>10:00 am - 11:30 am</td>
<td>Student Union 330</td>
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<tr>
<td>February 21, 2019</td>
<td>10:00 am - 11:30 am</td>
<td>Student Union 304 A&amp;B</td>
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<tr>
<td>March 21, 2019</td>
<td>10:00 am - 11:30 am</td>
<td>Student Union 104</td>
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<td>April 18, 2019</td>
<td>10:00 am - 11:30 am</td>
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<td>June 20, 2019</td>
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