Agenda

• Open Mike: 9 over 12 Salary Reviews - A Team “Effort”
• Terms and Conditions at Proposal Submission
• Increasing Efficiency of Proposal Reviews
• Effort Reporting Update
• NIH Transition to Subaccounting - Continued
• Uniform Guidance Update
  – Policy and Procedures
  – A133 “Single Audit”
• Housekeeping
• Adjourn
Pre-Award/Proposal Updates

• Terms and Conditions at Proposal Submission
  – Why is this important?
  – Some sponsors require agreeing to terms and conditions when submitting the application
  – Most frequently found in proposals to foundations
  – Let your Pre-Award Specialist know as soon as possible

• Increasing Efficiency of SPS Proposal Reviews
  – Ensuring even workload distribution
  – What does this mean for you?
Effort Reporting Update

- Thank you everyone for all your hard work getting the payroll adjustments in for 9 & 10 month employees!
- We have been working directly with affected areas regarding those with over 75% effort (handled by manual accrual process)
- Reminder: NO academic year salary will be allowed on grants in the summer months
Effort Reporting Update

- Paper Report Collection
  - Fall 2013 (98%)
  - Spring 2014 (90%)
  - Summer 2014 (78%)
- Please return outstanding reports ASAP
- Fall 2014 reports will be generated soon (paper)
Effort Reporting Update

• Closing development on Phase I of the new Effort Reporting system

• Thank you to everyone that helped test!

• Will be reaching out in July to:
  – Identify and set up users in system
  – Provide training and access to the application

• Spring 2015 reports will be first electronic reports
Questions on Effort Reporting or related payroll?

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NIH Subaccounting Transition Plan

What Does This Mean for UConn?
NIH Subaccounting Transition Plan

How will UConn Implement this Change? (From 5/1/2015 RAWG Meeting)

• NIH Awards with project period end dates after 9/30/2015:
  o There are currently 10 NIH accounts that remain in the G Pool (i.e., have not been converted to the subaccounting method)

• SPS Grant Managers will:
  o Reserve, in advance, New Account Numbers for awards in the population above
  o Notify Departments of affected NIH awards ~June 1, 2015
  o Create and issue new account numbers 90 days before budget end date
  o Create new InfoEd record and close previous record
  o Notify Department/PI of new account once created
  o Place information and resources for NIH’s transition on SPS Website
  o Communication to Bursar (Fellowship accounts need to reference new account numbers) - no fellowships impacted
  o Verify carry forward amounts and ensure they roll appropriately to the new NIH document number
  o Verify and submit FFR
NIH Subaccounting Transition Plan

How will UConn Implement this Change? (Continued)

• Department Grant Management Personnel will need to:
  o Finalize expenses for final FFR Submission for existing account(s)
  o Submit payroll authorizations to reflect new account(s)
  o Verify tuition charges
  o Verify subaward charges are received and paid (New subawards will have to be issued for new accounts)
  o Transfer recurring service charges to new account
  o Review any open encumbrances and transfer to new account if not liquidated prior to the budget end date
NIH Subaccounting Transition Plan

Who is impacted by this change?

• Molecular & Cellular Biology:
  o November 30, 2015 (1 account)
  o August 31, 2016 (1 account)

• Center for Health Intervention & Prevention:
  o January 31, 2016 (3 accounts)
  o March 31, 2016 (2 accounts)

• Physiology & Neurobiology:
  o May 31, 2016 (1 account)

• Electrical & Computer Engineering:
  o August 31, 2016 (1 account)

• Pathobiology:
  o August 31, 2016 (1 account)
UG Moments

• OVPR Uniform Guidance Website
  – The Department of Education has released a Webcast, a PDF presentation document for Grantees and FAQ’s – [links](#) now available

• FY 2015 A-133 Single Audit
  – Audit Kickoff will begin in July
  – Terms and conditions issued under the new Uniform Guidance will be reviewed as part of this year’s audit (*you don’t need to do anything different*)
  – Auditor’s will continue to perform the audit under A-133 guidelines this year, but changes in approach are expected for the 2016 year audit
Direct Charging of F&A Costs

200.414(c)

The salaries of administrative and clerical staff should normally be treated as indirect (F&A) costs. Direct charging of these costs may be appropriate only if all of the following conditions are met:

(1) Administrative or clerical services are integral to a project or activity;
(2) Individuals involved can be specifically identified with the project or activity;
(3) Such costs are explicitly included in the budget or have the prior written approval of the Federal awarding agency; and
(4) The costs are not also recovered as indirect costs.
UG Moments

Review of University Policies and Procedures

New/Updated Policies In Progress
- Effort Reporting/Pay Allocation *New*
- Minimum Effort Proposed on Sponsored Awards *Update*
- Subrecipient Monitoring *Update*

New/Updated Procedures In Progress
- Effort Reporting/Pay Allocation *New*
- NSF Senior Personnel Salaries and Wages (2 month) *New*
- Subrecipient Monitoring *New*
- Subaward Initiation and Payment *New*
- Participant Support *New*
Reminder NSF Senior Personnel Salaries and Wages Policy- 2 month limitation

• UConn Academic year- September – August
• Must disclose in proposal that if awarded person months will exceed 2 months
• If included in NSF NOA, then it is approved
• The NSF requires prior “Institutional” approval for Re-budgeting to exceed 2 months.
  – Request prior approval from SPS
NSF Senior Personnel Salaries and Wages Policy

• Applies only to senior project personnel. The NSF Grants Policy Guide (GPG) defines senior personnel as:
  – (co)Principal Investigator(s) - the individual(s) designated by the proposer, and approved by NSF, who will be responsible for the scientific or technical direction of the project.
  – Faculty Associate (faculty member) - an individual other than the Principal Investigator(s) considered by the University to be a member of its faculty or who holds an appointment as a faculty member at another institution, and who will participate in the project being supported.”
NSF Senior Personnel Salaries and Wages Policy

Who does the NSF two-month salary policy not apply to?

- Post doctoral professionals
- “Soft-funded” employees ("research faculty", research scientists, and similar individuals who are normally supported through sponsored-funding, i.e. their positions are not supported by permanent operating budget dollars)
- Research scientists not budgeted as senior personnel
- Other personnel not budgeted as senior personnel
Housekeeping

- NCURA Webcast – June 17th, PBB 130
- GATV’s – Spring 2015
- E-mail for Subawards: subawards@uconn.edu
- Summer Payroll
  - HHS Salary Cap
  - No academic pay during summer
- Year-End Activities
Adjourn

• Questions?